

2163 #31

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2163

Applicant: Fredrick J. Aley

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Serial No. 09/747,862

Examiner:

Filed: December 22, 2000

Atty. Dkt. No.: 17410/8

For:

METHODS AND SYSTEMS FOR INTEGRATING MARKETING,

PRODUCTION, AND FINANCE

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. on September 20, 2002.

Patrick W. Rasche, Reg. No.: 37,916

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

1.	[]	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
2.	[🗸]	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
3.	[]	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
4.	[]	Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d) [before Issue Fee payment]

Hon. Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

- 6. ___ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7. __ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

	8.	<u> </u>	The required certification made in item 11 below; or
	9.		The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10.		37 CFR	1.97(d): [before issue fee payment]; and
	_	a)	This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance "), and
	_	b)	The required Certification is stated in item 11 below.
11.	<u> </u>	Certific	ation
	12.	<u> </u>	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
	13.	_	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14.	✓_		charge all applicable fees associated with the submittal of this Information Disclosure ent to Deposit Account No. 01-2384. An original and two copies of this document is d.

Respectfully submitted,

Patrick W. Rasche Reg. No.: 37,916 Armstrong Teasdale LLP One Metropolitan Square, Suite 2600 St. Louis, MO 63012 (314) 621-5070

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Approved for use through 10/31/2002. OMB 0651-0031

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STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 Sheet

Complete if Known				
Application Number	09/747,862	-		
Filing Date	December 22, 2000			
First Named Inventor	Fredrick J. Aley			
Group Art Unit	2163			
Examiner Name				
Attorney Docket Number	17410/8			

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Examiner Initials	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Number	Kind Code ² (if known)	Of Cited Document	MM-DD-YYYY	or Relevant Figures Appear		
	ĀĀ	6,236,994 B1		Swartz, et al.	05/22/2001			
	AB	5,832,456		Fox, et al.	11/03/1998			
	AC							
	AD					<u> </u>		

				FOF	REIGN PATENT DOCUM	ENTS		
Examiner Initials*		Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages	Т
	Cite No. ¹	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	or Relevant Figures Appear	
	FA	JP	407205574 1		Hayashibara	08/08/1995		\perp
	FB	KR	2001045776	1	Lee	06/05/2001		上

-		OTHER PRIOR ART NON PATENT LITERATU	RE DOCUMENTS		
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	ВА	International Search Report, dated September 12, 2002; International Filing Date 08/28/2001.	onal Application No.: PCT/US01/26756; /		
•	ВВ	US 2001/0013004 A1; HARIS, et al.; 08/09/2001	RECEIVED SEP 2 6 2002		
• •	ВС	US 2002/0026347 A1; 02/23/2002	GROUP 3600		

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¹Unique citation designation number. ²Applicant is to place a checkmark here if English language Translation is attached.